

# NIKKI GLOBAL FINANCE LTD.

Registered Office: I-9, LGF, Lajpat Nagar -1 New Delhi- 110024 CIN: L65999DL1986PLC024493

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Ref. No.:- Nikki/2015-16/

Date: 12-01-2016

To,  
The Deputy General Manager,  
Department of Corporate Services,  
Bombay Stock Exchange Limited, Mumbai  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai – 400001

**Sub.:- Filing of Quarterly Corporate Governance Report for the Quarter ended on 31-12-2015 pursuant to Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref.:- Scrip Code - 531272**

Dear Sir/Madam,

In compliance with the Regulation 27(2) of the SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a Quarterly Corporate Governance Report for the quarter ended on December 31, 2015 for your kind perusal and record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

For Nikki Global Finance Limited

  
(Gunjita Kalani)  
Company Secretary

Encl: as above

**ANNEXURE I****Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity: Nikki Global Finance Limited
2. Quarter ending : 31.12.2015

<b>I. Composition of Board of Directors</b>								
Title (Mr./Ms)	Name of the Director	PAN <sup>s</sup> & DIN	Category (Chairperson/ Executive /Non-Executive /independent/Nominee) <sup>&amp;</sup>	Date of Appointment in the current term/cessation	Tenure*	No of Directorship in listed entities including this listed entity  (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/Stakeholder Committee(s) including this listed entity  (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity  (Refer Regulation 26(1) of Listing Regulations)
Mr.	Ashesh Agarwal	PAN: AFBPA6473M DIN: 02319026	Managing Director- Executive Director	-	-	1	Nil	Nil
Mr.	Shashwat Agarwal	PAN: ABUPA2590C DIN: 00122799	Executive Director	-	-	2	2	Nil
Mr.	Manoj Kumar	PAN: ABAPK4993P DIN: 02855139	Independent Director	08.12.2011	29.09.2014-28.09.2019	2	4	2
Mr.	Sanjay Tandon	PAN: AAYPT7662F DIN: 00421811	Independent Director	17.08.2010	29.09.2014-28.09.2019	1	Nil	Nil
Mr.	Subodh Agarwal	PAN: ABNPA4910B DIN: 00122844	Independent Director	03.04.2007	29.09.2014-28.09.2019	2	4	1
Mr.	Gaya Prasad Gupta	PAN: AFUPG4207L DIN: 00335302	Independent Director	03.04.2007	29.09.2014-28.09.2019	2	4	1

Mrs.	Kavita Awasthi	PAN: AEFPA03 16N DIN: 03106803	Independent Director	26.03.2015	26.03.2015- 25.03.20	3	2	1
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<sup>s</sup>PAN number of any director would not be displayed on the website of Stock Exchange  
<sup>&</sup>Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen  
<sup>\*</sup>to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

## II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) <sup>s</sup>
1. Audit Committee	1. Mr. Gaya Prasad Gupta 2. Mr. Subodh Agarwal 3. Mr. Manoj Kumar 4. Mr. Shashwat Agarwal	Chairperson, Non Executive-Independent Director Member, Member, Non Executive-Independent Director Member, Non Executive-Independent Director Member, Executive Director

\*Details of Composition of other Committees are not given as these are not mandatory

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
31.07.2015, 04.09.2015	31.10.2015, 08.12.2015	37 days

## IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
22.10.2015	Yes, Quorum was met	21.07.2015	92 Days

\*Details mentioned above are of Audit Committee.

## V. Related Party Transactions

Subject	Compliance status (Yes/No/NA) <sup>refer note below</sup>
Whether prior approval of audit committee obtained	Yes
Whether Shareholder approval obtained for material RPT	All Transactions were made at prevailing market price subject to the provisions of the Companies Act, 2013 read with rules made thereunder.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

### Note

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of

Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

#### **VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

a. Audit Committee

b. Nomination & remuneration committee

c. Stakeholders relationship committee

d. Risk management committee (applicable to the top 100 listed entities) Not Applicable

3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015

4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015

5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here.

  
**Designation**  
**Company Secretary**



#### **Note:**

Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.